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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

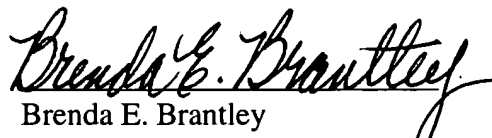
Applicant(s): William S. Adney et al.)
Serial No.: 09/917,384) Art Unit: 1632
Filing Date: July 28, 2001) Examiner: Manjunath N. Rao
Title: Thermal Tolerant Cellulase from)
Acidothermus cellulolytis) Atty. Dkt. No.: NREL 01-38

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that the following attached items:

- Amendment in Response to Notice Under 37 CFR §§1.821-825 [8 pages]
Marked-up set of claims [9 pages] + Clean set of claims [5 pages]
- Sequence Listing [17 pages]
- Statement to Support Filing and Submission in Accordance with
37 CFR §§ 1.821-825 [2 pages]
- Copy of Notice to Comply with Requirements for Patent Application Containing
Nucleotide Sequence and/or Amino Acid Sequence Disclosure
- Computer readable diskett of Sequence Listing
- Postcard receipt

are being deposited in the United States Postal Service as first class mail, postage pre-paid, in an envelope addressed to: Assistant Commissioner for Patents, U.S. Patent & Trademark Office, Box Sequence Listing, P.O. Box 2327, Arlington, VA 22202 on this 22nd day of November 2002.


Brenda E. Brantley
Senior Patent Administrator

National Renewable Energy Laboratory
1617 Cole Boulevard
Golden, CO 80401
303/384-7572
303/384-4799 (fax)

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Application No.: 09/917,384

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☒ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e). *See attached copies of Raw Seq. Listing & paper copy of the seq. filed.*
- ☐ 7. Other: The specification must be amended to include SEQ ID NOS. where applicable.

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

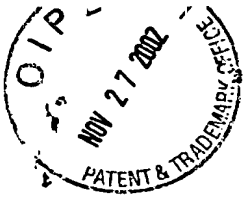
For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No: NREL 01-38

In re patent application of

DING, SHI-YOU et al.

Serial No. 09/917,384

Filed: July 28, 2001

For: THERMAL TOLERANT CELLULASE FROM ACIDOTHERMUS CELLULOLYTICUS

STATEMENT TO SUPPORT FILING AND SUBMISSION IN
ACCORDANCE WITH 37 C.F.R. §§ 1.821-1.825

Assistant Commissioner for Patents
Washington, D.C. 20231
Box SEQUENCE

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Sir:

In connection with a Sequence Listing submitted concurrently herewith, the undersigned hereby states that:

1. the submission, filed herewith in accordance with 37 C.F.R. § 1.821(g), does not include new matter;

2. the content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same; and

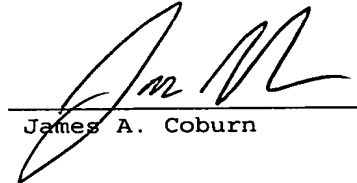
3. all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United

Serial No. 09/917,384

States Code and that such willful false statements may jeopardize the validity of the application or any patent resulting therefrom.

Respectfully submitted,

Nov. 11, 2002
Date


James A. Coburn

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